

03C03

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
10237.6

In Re Application Of: **Eddie M. Schwalb and Lee M. Chen**

Serial No.  
09/823,863

Filing Date  
March 30, 2001

Examiner  
n/a

Group Art Unit  
n/a

Title: **METHODS AND SYSTEMS FOR MASS CUSTOMIZATION OF DIGITAL TELEVISION BROADCASTS IN DASE ENVIRONMENTS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
10237.6

In Re Application of Eddie M. Schwalb and Lee M. Chen

Serial No.  
09/823,863

Filing Date  
March 30, 2001

Examiner  
n/a

Group Art Unit  
n/a

Title: **METHODS AND SYSTEMS FOR MASS CUSTOMIZATION OF DIGITAL TELEVISION BROADCASTS IN DASE ENVIRONMENTS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$10,000 is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

) on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on June 20, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael F. Krieger

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account

Signature

Michael F. Krieger  
Kirton & McConkie  
1800 Eagle Gate Tower  
60 E. South Temple Street  
Salt Lake City, Utah 84111  
Telephone: (801) 328-3600  
Facsimile: (801) 321-4893

Dated: June 20, 2001

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

10237.6

Application Number

09/823,863

Applicant(s)

Eddie M. Schwalb and Lee M. Chen

Filing Date

March 30, 2001

Group Art Unit

n/a

## U.S. PATENT DOCUMENTS

*EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,122,660	9/19/00	Baransky, et al.	709	217	2/12/99
		6,119,154	9/12/00	Weaver, et al.	709	219	10/22/97
		6,112,226	8/29/00	Weaver, et al.	709	203	10/22/97
		5,512,935	4/30/96	Majeti, et al.	348	9	3/31/94
		6,100,925	8/8/00	Rosser, et al.	348	169	11/25/97
		6,118,923	9/12/00	Rodriguez	386	83	5/5/97

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.